

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

:: Group Art Unit:2122

Giancarlo Carbone et al

Confirmation No.2763

Intellectual Property

Serial No:09/965,012

Law Department

Filed: 09/27/2001

International Business

Title: A METHOD AND SYSTEM

Machines Corporation

FOR CONTROLLING USE OF SOFTWARE: 11400 Burnet Road

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PROGRAMS

Austin, Texas 78758

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D. C. 20231 on February 21, 2002.

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Signature

Date

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION

UNDER 37 CFR 1.97(e)(1)

Assistant Commissioner of Patents Washington, D. C. 20231 Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR 1.97(e)(1).

A copy of each of the items on PTO-1449 is supplied hereiwth.

Respectfully submitted

Leslie A. Van Leeuwen Attorney for Applicant(s)

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Serial No. 09/965,012 of FORM PTO-1449 (Modified) Applicant: Giancarlo Carbone et al LIST OF PATENTS AND PUBLICATIONS FOR Filing Date: 09/27/2001 APPLICANT'S INFORMATION DISCLOSURE Group: 2122 Atty. Docket No. GB920010014US1 STATEMENT U. S. PATENT DOCUMENTS Reference Designation Filing Date if Document Examiner **Appropriate** <u>Class</u> <u>Subclass</u> Date Name <u>Initial</u> Number RECEIVED AA 3,996,449 12/07/1976 Attanasio et al __ AB __ AC MAR 1 2 2002 __ AD AE Technology Center 2100 ΑF AG FOREIGN PATENT DOCUMENTS Translation Examiner Document ' Country Class Subclass Yes No Initial_ Number Date WO 01/24012 A1 04/05/2001 AΗ PCT PCT ΑI WO 01/59564 A2 \ 08/16/2001 ΑJ AK OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Examiner Initial_ ALAM AN Date Considered: Examiner: EXAMINER: Initial if reference considered, whether or not citation is in conformance

with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

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